

1 Article

# 2 Trait-based Dimensions Discriminating Adults with 3 Attention Deficit Hyperactivity Disorder (ADHD), 4 Autism Spectrum Disorder (ASD) and Co-occurring 5 ADHD/ASD

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17 **Abstract:** The study assesses the co-occurrence of ADHD and ASD in newly diagnosed adults of  
18 normal intelligence and the contribution of trait-based dimensions deriving from the Barkley Adult  
19 ADHD Rating Scale-IV (BAARS-IV), the Autism-Spectrum Quotient (AQ) and the Empathy  
20 Quotient (EQ) to the differentiation of patients with ADHD, ASD and ADHD/ASD. 16.1% of  
21 patients with ADHD received a co-occurring ASD diagnosis while 33.3% of patients with ASD an  
22 ADHD diagnosis. Subjects with ADHD or ADHD/ASD had higher scores in all ADHD traits  
23 compared to ASD ones. The ASD compared to the ADHD group had AQ scores significantly  
24 greater except from Attention to Details. ADHD/ASD co-occurrence significantly increased the  
25 score of Attention to Details. Total EQ score was greater in the ADHD group. In stepwise logistic  
26 regression analyses past Hyperactivity, current Inattention and Impulsivity, Attention Switching,  
27 Communication, Imagination and EQ Total score discriminated ADHD from ASD patients.  
28 Attention to Details, Imagination and Total EQ score discriminated ADHD from ADHD/ASD cases,  
29 while past Hyperactivity and current Impulsivity discriminated ASD from ADHD/ASD subjects.  
30 Our findings highlight the importance of particular trait-based dimensions when discriminating  
31 adults with ADHD, ASD and co-occurring ADHD/ASD.

32 **Keywords:** attention deficit hyperactivity disorder (ADHD); autism spectrum disorder (ASD);  
33 adults; self-report dimensions  
34

## 35 1. Introduction

36 Attention deficit hyperactivity disorder (ADHD) and autism spectrum disorder (ASD) are  
37 amongst the most prevalent neurodevelopmental disorders. ADHD is characterized by  
38 developmentally inappropriate inattention, impulsiveness, and/or hyperactivity that remain  
39 relatively persistent over time and result in impairment across multiple domains of life activities.  
40 ASD is characterized by persistent deficits in social interaction and communication as well as  
41 restrictive, repetitive patterns of behavior or interests [1]. These disorders typically have a childhood  
42 onset and persist into adult life, but there is a significant unmet clinical and research need to

43 understand the persistence into adulthood [2-7]. In clinical practice a notable symptom overlap  
44 between ASD and ADHD symptoms, may lead to diagnostic overshadowing of ADHD symptoms in  
45 ASD and vice versa. Despite the fact that prior to the 5<sup>th</sup> edition of the Diagnostic and Statistical  
46 Manual of Mental Disorders (DSM-5) [1], a diagnosis of ASD precluded a diagnosis of ADHD, there  
47 has been a considerable amount of research focusing on the co-occurrence between ADHD and ASD  
48 and many questions have been posed about the relationship between the two disorders. So far, the  
49 body of literature is for the vast majority based on childhood data. Research data show that 18% to  
50 50% of children with ADHD present with clinical levels of ASD symptoms [7-10]. Conversely, ADHD  
51 is the most common co-occurring disorder in children with ASD with co-occurrence rates in the 40-  
52 70% range [11-13]. Moreover, it has been reported that the overshadowing of symptoms in co-  
53 occurring cases that had been diagnosed with ADHD first, may lead to a delay in the diagnosis of  
54 ASD [14]. Research data on the co-occurrence of ADHD and ASD in adulthood are scarce. Current or  
55 past diagnosis of ADHD in ASD adult patients has been reported to be from 9.7% to 43% with most  
56 reports being within the range of 37-43% [6,15-19] while Edvinsson et al. [20] in a sample of patients  
57 diagnosed with ADHD in adulthood found that over 10% of the sample had a current and past  
58 diagnosis of ASD. Some researchers have even categorized ADHD and ASD as falling on the same  
59 continuum of neurodevelopmental disorders, with ADHD representing a less severe presentation of  
60 ASD [21, 22].

61 Although diagnosis following DSM or ICD criteria has been the norm in child and adult  
62 psychiatry, a dimensional or hybrid model approach has been adopted for polythetic syndromes [23].  
63 There is increasing recognition that dimensions of behavior can cut across diagnostic categories and  
64 those dimensions can be helpful in successfully categorizing individuals with neurodevelopmental  
65 disorders. Consistent with the hypothesis that co-occurrence of ADHD and ASD is better examined  
66 by dimensional traits are studies that investigate mechanisms underlying the co-occurrence of the  
67 two disorders. Polderman et al. [24] investigated specific patterns in the co-occurrence of ASD and  
68 ADHD traits in adults. Five trait-based dimensions of ASD (social skill impairments, strong routine  
69 preferences, attentional switching problems, imagination impairments and a strong fascination for  
70 numbers and patterns), and two dimensions of ADHD (Inattentive (IA) and Hyperkinetic/Impulsive  
71 (HI)) were jointly examined in a population-based adult sample. HI problems did not correlate  
72 substantially with the ASD trait dimensions, whereas IA problems correlated only with the ASD  
73 dimension assessing attentional switching difficulties. Furthermore Polderman et al. [25] examined  
74 the genetic and environmental etiology of the association between specific ASD and ADHD disorder  
75 dimensions. In a community sample of adult twins they assessed self-reported data on ASD  
76 dimensions social and communication difficulties (ASDsc), repetitive and restricted behavior and  
77 interests (ASDr) and ADHD dimensions (IA) and (HI). They concluded that ASDr problems form  
78 an important link between ASD and ADHD comorbidity. Ghirardi et al. [26] studied individuals aged  
79 20–28 years from the Swedish Study of Young Adult Twins. They estimated the phenotypic and  
80 etiological overlap between self-rated trait dimensions of ADHD and ASD. At the phenotypic level  
81 HI was correlated more strongly with repetitive and restricted behaviors (RRB) than social interaction  
82 and communication (SIC), whereas IA was equally associated with both dimensions of ASD traits.  
83 Their findings suggest a dimension-specific phenotypic and etiological overlap between ADHD and  
84 ASD traits.

85 It has been also reported that ADHD prevalence in ASD decreases with age and preliminary  
86 data suggest that the association between ASD and ADHD traits may be somewhat lower in adult  
87 age than in childhood/adolescence [27]. Hartman et al. [28] reported that both ADHD and ASD  
88 symptom constellations are not at all stable across development with some symptom dimensions  
89 (attention problems and social problems) being much more persistent than other symptom  
90 dimensions (hyperactivity/impulsivity and repetitive behaviors). In a meta-analysis of social  
91 cognition [29], it was reported that developmental trajectories of social cognition probably differ  
92 between ADHD and ASD as social cognitive deficits in ADHD might be improving with age in most  
93 individuals. Lai & Baron-Cohen [6] state that ADHD is seen in up to 40% of adults with ASD and  
94 support the view that important point of differentiation is the nature of surface-level inattentiveness.

95 In ASD inattentiveness is characterized by slowness or difficulty in attention disengagement and  
96 switching, whereas in ADHD the main characteristic is difficulty in maintaining focused attention.  
97 They suggest that a dimensional perspective can be adopted.

98 The concept of empathy includes the identification of another's mental state and the appropriate  
99 response to their mental state [30]. Abnormalities in empathy have been reported in various  
100 psychiatric disorders [31-33]. Individuals with ASD have repeatedly reported to show impairments  
101 in empathy across the life span relative to typical controls [30, 34] and in their ability to maintain  
102 selective attention when it concerns emotional stimuli [35]. The notion of empathy has not been  
103 adequately studied in adult patients with ADHD. There has been only one study [36] reporting that  
104 adults with a subclinical ADHD diagnosis had reduced levels of the Empathy Quotient (EQ) scores  
105 compared to the control group. To our knowledge there are no reports on the possible role of empathy  
106 in diagnosing co-occurring ADHD and ASD in adults.

107 Data from studies that try to estimate the phenotypic and etiological overlap between self-rated  
108 trait dimensions of ADHD and ASD derive from a general population sample and, as such, results  
109 might not be extrapolated directly to clinical settings. Clinicians performing an ASD/ADHD  
110 assessment are able to use their judgment to distinguish between ASD/ADHD and other conditions  
111 that can mimic ASD/ADHD symptoms [6] but often struggle deciding which disorder better  
112 describes the patient's problems. They also acknowledge that when ASD and ADHD co-occur, each  
113 becomes more difficult to diagnose than when they occur in isolation [37]. Antshel et al. [11] comment  
114 that the base rate of ADHD symptoms for children, adolescents and adults with ASD has never been  
115 firmly established and the extent to which those symptoms are inherent to ASD is not clear.  
116 Establishing symptom profiles for making a diagnosis of ADHD in individuals with ASD remains an  
117 important area of research. Of particular interest is the less studied group of the less impaired adult  
118 patients who are overlooked until adulthood and pose complex diagnostic issues [38].

119 As part of a comprehensive assessment of adults self-rated questionnaires are often used. They  
120 can be useful either for screening purposes or in support of the diagnostic procedure. We hypothesize  
121 that individual trait dimensions that derive from questionnaires with widely accepted psychometric  
122 properties may offer helpful information for the differential diagnosis of adults with normal  
123 intelligence that are referred with a suspected ADHD or ASD or ADHD/ASD diagnosis.

124 The aim of the present study is twofold: first, to estimate the rate of co-occurrence of ADHD and  
125 ASD in adults with normal intelligence referred for the first time in their lives to an Adult  
126 Neurodevelopmental Department; and second to examine how the total score and individual trait  
127 dimensions such as the current and past inattention, hyperactivity and impulsivity that derive from  
128 the **Barkley Adult ADHD Rating Scale-IV (BAARS)**, the **five subscales deriving from Autism-**  
129 **Spectrum Quotient (AQ)** and the **total score of EQ** could help a clinician in everyday clinical practice  
130 to identify variables that could differentiate patients with ADHD, ASD and ADHD/ASD. We  
131 particularly focus on the extent to which these trait dimensions can be helpful in disentangling the  
132 most puzzling group of patients with a dual ADHD/ASD diagnosis.

## 133 2. Materials and Methods

### 134 2.1. Recruitment

135 The present study was part of a larger research project on de novo diagnosed adults with ADHD  
136 and ASD [38]. The research group included 238 adults who were assessed at the Adult  
137 Neurodevelopmental Department in the 1<sup>st</sup> Department of Psychiatry at the National and  
138 Kapodistrian University of Athens and received a de novo ADHD and/or ASD diagnosis during a  
139 three-year period. The Adult Neurodevelopmental Department is the only nation-wide center that  
140 accepts referrals **from the general population** across Greece for the diagnosis of ASD and ADHD in  
141 adults. It used to be specialized for the diagnosis and treatment of adult ADHD [38,39] but during  
142 the last ten years the increased needs for diagnosis and treatment of adults with ASD resulted to the  
143 broadening of referral criteria in order to include suspected ASD cases. The multi-disciplinary team  
144 that carries out all assessments consists of: psychiatrists who have extended experience in the

145 diagnosis and treatment of Neurodevelopmental Disorders in adults and are trained in ADOS [40,41],  
146 ADI-R [42,43] and DIVA [3,5,44]; clinical psychologists; a speech and language therapist; an  
147 occupational therapist; and a social worker. In order to be included in the study subjects had to be  
148 adults with normal intelligence and fluent phrase speech that received for the first time in their life  
149 an ADHD and/or ASD diagnosis. Exclusion criteria were a previous ADHD and/or ASD diagnosis,  
150 the presence of acute psychopathology requiring urgent psychiatric treatment, IQ <70 according to  
151 WAIS and a known genetic cause.

152 Written consent was obtained from all participants. The study was conducted in accordance with  
153 the Declaration of Helsinki, and the protocol was approved by the Ethics Committee of the National  
154 and Kapodistrian University of Athens, Eginition Hospital (10549/17.10.2016)

## 155 2.2. Procedure

156 The assessment procedure is built on a standard diagnostic routine described in detail in  
157 Pehlivanidis et al [38]. Initially, all referred patients have to complete a questionnaire that comprises  
158 demographic, educational, occupational and clinical data and a battery of screening instruments  
159 including a modified version of BAARS-IV [45], the AQ [46], and the EQ [47]. The completed  
160 questionnaire is sent via e-mail to our clinic.

161 Responses to the questionnaire are then discussed with the patient and a family member when  
162 possible. Patients undergo a thorough psychiatric examination by experienced psychiatrists of our  
163 Department exploring the existence and pervasiveness of a suspected neurodevelopmental disorder  
164 and the presence of co-occurring psychopathology. If needed, patients are referred to other members  
165 of the multidisciplinary team or for a laboratory workout. The semi-structured interview DIVA is  
166 administered to all patients while the ADOS and ADI-R are administered to selected cases considered  
167 to be more complicated. The final diagnosis regarding the presence of ADHD and/or ASD is given  
168 during a consensus meeting of the multidisciplinary team and is based on DSM-5 criteria while taking  
169 into consideration all available information.

## 170 2.3. Measures of ADHD and ASD dimensions

### 171 2.3.1. BAARS-IV

172 A modified version of BAARS-IV was used in order to assess self-report ADHD traits. This 18-  
173 item questionnaire assesses the DSM-IV criteria for ADHD, with clinical response and recovery  
174 cutoffs derived from population-based norms. The BAARS-IV shows adequate internal consistency  
175 and test-retest reliability, and strong construct validity and discriminant validity [45]. It comprises  
176 18 items for both current (last 6 months) and childhood (for age 5-12) behavior, using a 4-point (0, 1,  
177 2, 3) rating scale. A score of two or above indicates the presence of a symptom. Nine items assess the  
178 presence of inattentive symptoms and nine the hyperactive/impulsive symptoms. For the needs of  
179 our study we divided the Hyperactivity/Impulsivity items in two subscales: Impulsivity (4 items)  
180 and Hyperactivity (5 items). The following ADHD traits subscales: Inattention for age 5-12 (0 to 9  
181 points), Hyperactivity for age 5-12 (0 to 5 points), Impulsivity for age 5-12 (0 to 4 points), Inattention  
182 at last 6 months (0 to 9 points), Hyperactivity at last 6 months (0 to 5 points), Impulsivity at last 6  
183 months (0 to 4 points), Total score for age 5-12 (0 to 18 points) and Total score at last 6 months (0 to  
184 18 points) entered the analysis. **In our sample Cronbach's  $\alpha$  for all scales was between 0.70 and 0.91**

### 185 2.3.2. Autism Quotient (AQ)

186 The AQ was originally developed to identify ASD among adults with normal intelligence [48].  
187 It consists of 50 items, 10 items per subscale. Participants responded to each of 50 statements on a  
188 four-point Likert scale (1 "strongly agree", 2 "slightly agree", 3 "slightly disagree", 4 "strongly  
189 disagree"). Total AQ, as well as the five subscale scores namely Social Skills (SS), Attention Switching  
190 (AS), Attention to Detail (AD), Communication (C) and Imagination (I) were calculated using the  
191 relevant key. Woodbury-Smith et al. [49] were the first to evaluate AQ for its potential as a screening  
192 questionnaire in clinical practice and concluded that AQ is strongly predictive of who receives a

193 diagnosis of ASD in normal intelligence and high functioning adults. Other researchers [50]  
 194 questioned the utility of AQ to predict ASD caseness in patients seen at an adult ASD diagnostic  
 195 service when using the total AQ score. Moreover, in a more recent analysis [51], it was suggested that  
 196 several measurement properties of the AQ are good and have adequate sensitivity and specificity to  
 197 distinguish people with ASD from those without ASD but noted that AQ cannot be described as a  
 198 unidimensional measurement. In our study the following five AQ subscales entered the analysis:  
 199 Social Skills, Attention Switching, and Attention to Details, Communication, Imagination and the AQ  
 200 Total score. **In our sample Cronbach's  $\alpha$  for the total score and the subscales was between 0.73 and**  
 201 **0.84 except from Imagination and Attention Switching subscales where Cronbach's  $\alpha$  was 0.59 and**  
 202 **0.50 respectively.**

### 203 2.3.3. Empathy Quotient (EQ)

204 EQ is a self-assessment instrument for measuring the construct of empathy in adults of normal  
 205 intelligence both as a one-factor and three-factor dimension [52-54]. It comprises 40 questions tapping  
 206 empathy and 20 filter items [35, 47]. Responses are given on a 4-point Likert scale and scores can  
 207 range from 0 to 80 points. **Reduced levels of EQ scores reflecting deficits in empathy have been**  
 208 **repeatedly reported for individuals with ASD, relative to typical controls [55].** EQ is inversely  
 209 correlated with the AQ [55]. The EQ has been validated in a Greek adult population, demonstrating  
 210 good psychometric properties and supporting both the one and the three-factor models [56]. In the  
 211 present study the Total EQ score entered the analysis. **Cronbach's  $\alpha$  was 0.71.**

### 212 2.4. Statistical analysis

213 **Normal distribution of the variables was evaluated using Kolmogorov -Smirnov test.**  
 214 Qualitative variables are expressed as absolute and relative frequencies. Chi-square test was used for  
 215 the comparison of proportions. For the comparison of continuous variables between the three study  
 216 groups one-way analysis of variance (ANOVA) was used. Bonferroni correction was applied in case  
 217 of multiple testing in order to eliminate type I error. Multiple logistic regression analyses with a  
 218 stepwise method ( $p$  for removal was set at 0.1 and  $p$  for entry was set at .05) was also performed, in  
 219 order to identify the variables that could differentiate the study disorders. Adjusted odds ratios with  
 220 95% confidence intervals were computed from the results of the logistic regression analyses. We  
 221 performed a series of multiple logistic regression analyses with dependent variables those defined  
 222 from groups with different disorders. All reported  $p$  values are two-tailed. Statistical significance was  
 223 set at  $p \leq 0.05$  and analyses were conducted using SPSS statistical software (version 22.0).

## 224 3. Results

225 The sample consisted of 238 participants (172 men and 66 women) with mean age 30.2 years  
 226 (SD=9.8). 151 subjects (63.4%) were diagnosed with ADHD, 58 (24.4%) with ASD and 29 (12.2%)  
 227 with both ADHD and ASD. Of the total number of patients that received an ADHD diagnosis either  
 228 as a sole or co-occurring diagnosis ( $n=180$ ), 29 (16.1%) received a comorbid ASD diagnosis while of  
 229 the 87 subjects diagnosed with ASD, 29 (33.3%) fulfilled the criteria for an ADHD diagnosis. Sample  
 230 characteristics of all groups are shown in Table 1. The three groups of patients were similar in terms  
 231 of sex, and age (Table 1).

232 **Table 1.** Sample characteristics by group.

Diagnosis			Test statistic (df)
ADHD	ASD	ADHD+ASD	$p$

	N=151	N=58	N=29		
Age (years), mean (SD)	31.0(10.0)	28.7(9.2)	28.8(10)	1.41 (2, 234)	0.246
Sex, N (%)					
Men	106(70.2)	47(81)	19(65.5)	3.21 (2)	0.201
Women	45(29.8)	11(19)	10(34.5)		

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F (df1, df2) for ANOVA; X<sup>2</sup> (df) for Pearson's chi-square test

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Self-report measures of ADHD subscales for the three study groups are shown in Table 2. As expected, subjects with ADHD had significantly greater score on all ADHD traits as compared to ASD ones. Also, subjects having both ADHD and ASD diagnoses had significantly greater score on all ADHD traits as compared to ASD ones. No significant differences concerning ADHD traits scores were found between subjects with ADHD and those having both ADHD and ASD.

239

**Table 2.** Comparison of self-report number of ADHD traits between the three study groups.

ADHD TRAITS	ADHD	ASD	ADHD+ASD	F (df <sub>1</sub> , df <sub>2</sub> )	p	A vs. B p	A vs. C p	B vs. C p
	A	B	C					
INATTENTION AT AGE 5-12	Mean(SD) 6.2(2.4)	Mean(SD) 3.9(2.6)	Mean(SD) 6.6(2.5)	20.00 (2, 229)	<0.001	<0.001	>0.999	<0.001
HYPERKINETIC AT AGE 5-12	2.7(1.6)	1.5(1.6)	3.1(1.6)	15.36 (2, 229)	<0.001	<0.001	0.716	<0.001
IMPULSIVITY AT AGE 5-12	2.1(1.4)	1.1(1.3)	2.6(1.3)	15.35 (2, 229)	<0.001	<0.001	0.360	<0.001
TOTAL SCORE AT AGE 5-12	11(4.4)	6.4(4.4)	12.4(4.3)	27.90 (2, 229)	<0.001	<0.001	0.399	<0.001
INATTENTION AT LAST 6 MONTHS	5.8(2.5)	3.1(2.6)	5.5(2.9)	22.75 (2, 231)	<0.001	<0.001	>0.999	<0.001
HYPERKINETIC AT LAST 6 MONTHS	2.7(1.5)	1.6(1.4)	2.9(1.5)	12.56 (2, 231)	<0.001	<0.001	>0.999	0.001
IMPULSIVITY AT LAST 6 MONTHS	1.8(1.4)	0.8(1)	2.2(1.5)	15.12 (2, 231)	<0.001	<0.001	0.328	<0.001

TOTAL SCORE AT LAST 6

10.3(4.3) 5.4(4) 10.6(5) 27.17 (2 , 231) &lt;0.001 &lt;0.001 &gt;0.999 &lt;0.001

MONTHS

240 F (df1, df2) for ANOVA; p values after multiple comparisons using Bonferroni correction.

241 Comparison of self-report measures of AQ and EQ scores between the three study groups are  
 242 presented in Table 3. Scores in AQ traits were found to be significantly greater in ASD subjects as  
 243 compared to ADHD ones –as expected-, with the exception of Attention to Details. Additionally, all  
 244 AQ traits scores were found to be significantly greater in those having both ADHD and ASD in  
 245 comparison to those having ADHD alone. Furthermore, Attention to Details score was found to be  
 246 greater in those having both ADHD and ASD as compared to those with ASD. Total EQ score was  
 247 greater in ADHD subjects as compared to ASD cases and those that had both ADHD and ASD.

248 **Table 3.** Comparison of self-report number of ASD traits between the three study groups.

ASDTRAITS	Diagnosis			F (df <sub>1</sub> , df <sub>2</sub> )	p	A vs. B p	A vs. C p	B vs. C p
	ADHD	ASD	ADHD+ASD					
	A	B	C					
SOCIAL SKILLS SCORE	4(2.4)	6.1(2.5)	6(2.4)	19.25 (2 , 223)	<0.001	<0.001	<0.001	>0.999
ATTENTION SWITCHING SCORE	5.8(1.8)	6.8(1.7)	6.9(1.8)	9.38 (2 , 223)	<0.001	0.001	0.010	>0.999
ATTENTION TO DETAILS SCORE	4.8(2.4)	4.7(2.4)	6.3(2.1)	7.84 (2 , 223)	<0.001	>0.999	0.008	0.020
COMMUNICATION SCORE	4.5(2.4)	5.8(2.6)	6.7(2.1)	13.19 (2 , 223)	<0.001	0.001	<0.001	0.412
IMAGINATION SCORE	3.6(1.9)	5.3(2.2)	5.1(2.1)	17.94 (2 , 223)	<0.001	<0.001	0.001	>0.999
AQ TOTAL SCORE	22.7(6.2)	28.9(6.9)	30.9(7.2)	30.22 (2 , 223)	<0.001	<0.001	<0.001	0.529
EMPATHY TOTAL SCORE	31.7(10.5)	23.4(10.1)	19.7(6)	26.61 (2 , 223)	<0.001	<0.001	<0.001	0.307

249 F (df1, df2) for ANOVA; p values after multiple comparisons using Bonferroni correction.

250 Results from stepwise logistic regression analyses (Table 4) showed that Hyperactivity at age 5-  
 251 12, Inattention at the last 6 months, Impulsivity at the last 6 months, Attention Switching,  
 252 Communication, Imagination and EQ total score could discriminate ADHD from ASD patients. Also,  
 253 multiple analysis showed that Attention to Details, Imagination and Total EQ score could  
 254 discriminate ADHD cases from those having both ADHD and ASD, while Hyperactivity at age 5-12  
 255 and Impulsivity at the last 6 months were found to significantly discriminate between ASD and  
 256 ADHD/ASD subjects.

257  
258**Table 4.** Results from stepwise logistic regression analysis for the discrimination of ADHD, ASD and ADHD/ASD group of patients from the self-reported number of ADHD and ASD traits.

	<b>OR(95% CI)</b>	<b>p</b>
<b><i>ADHD vs. ASD</i></b>		
HYPERKINETIC AT AGE 5-12	1.68(1.22-2.32)	0.001
INATTENTION AT LAST 6 MONTHS	1.50(1.22-1.84)	<0.001
IMPULSIVITY AT LAST 6 MONTHS	1.77(1.1-2.84)	0.019
ATTENTION SWITCHING SCORE	0.68(0.51-0.9)	0.008
COMMUNICATION SCORE	0.76(0.6-0.97)	0.025
IMAGINATION SCORE	0.74(0.59-0.92)	0.007
EMPATHY QUOTIENT	1.08(1.02-1.15)	0.012
<b><i>ADHD+ASD vs. ADHD</i></b>		
ATTENTION TO DETAILS SCORE	1.25(1.00-1.55)	0.050
IMAGINATION SCORE	1.38(1.09-1.74)	0.007
EMPATHY QUOTIENT	0.87(0.81-0.93)	<0.001
<b><i>ADHD+ASD vs. ASD</i></b>		
HYPERKINETIC AT AGE 5-12	1.72(1.22-2.42)	0.002
IMPULSIVITY AT LAST 6 MONTHS	2.13(1.41-3.24)	<0.001

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Odds Ratio (95% Confidence Interval).

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#### 4. Discussion

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In our study we estimated the rate of co-occurrence of ADHD and ASD in patients referred to an Adult Neurodevelopmental Department and explored dimensions of self-rated screening instruments that could help a clinician discriminate between patients with ADHD, ASD and ADHD/ASD.

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##### 4.1. Co-occurrence of ADHD and ASD

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Among the 238 normal intelligence adults that were assessed during a three-year period, 63.4% received an ADHD diagnosis only, 24.4% an ASD diagnosis only and 12.2% a dual ADHD/ASD



268 diagnosis. 16.1% of the 180 patients with an ADHD diagnosis received a co-occurring ASD diagnosis  
269 while of the 87 subjects diagnosed with ASD 33.3% fulfilled the criteria for an ADHD diagnosis. Our  
270 findings are in line with previous findings showing elevated ratings of ADHD symptoms in ASD  
271 patients and elevated but lower rates of ASD symptoms in ADHD patients. Anckarsäter et al [57]  
272 reported that from 260 consecutive adults assessed for current and lifetime ADHD and ASD 113  
273 subjects had ASD, 147 had ADHD while in 46 (19.2%) there was an overlap between ASD and  
274 ADHD. In a naturalistic study in an adult psychiatric population, from the 84 patients diagnosed  
275 with ASD 37% fulfilled criteria for comorbid ADHD [19]. In Hofvander et al. study [15], an ADHD  
276 clinical diagnosis was given in 43% of 122 adult outpatients with ASD. Johnston et al., [16] reported  
277 that from the 31 adults with ASD and average intellectual function who completed self-report  
278 measures of ADHD symptoms, 36.7% met DSM-IV criteria for current ADHD “caseness”. Lai &  
279 Baron-Cohen [6] state that ADHD is seen in up to 40% of adults with ASD. The only study showing  
280 low rates of comorbid ADHD in ASD adult patients is a retrospective case review [18] where only  
281 9.7% from those who met ICD-10 criteria for ASD received the diagnosis of ADHD, a rate that was  
282 not significantly greater compared to general population data. The authors commented though that  
283 there was an associated specialist ADHD clinic within the same set of services and many referrals  
284 were possibly diverted away from the ASD clinic. Regarding co-occurring ASD in adults with  
285 ADHD, a study [20] examining the prevalence and gender differences of DSM-IV axis I and II  
286 comorbidity in a clinical cohort of patients diagnosed with ADHD in adulthood, found that over 10%  
287 of the sample had a current and past diagnosis of ASD which is comparable to the rate of 16.1% found  
288 in our study.

#### 289 4.2. BAARS dimensions

290 Patients with confirmed ADHD diagnosis scored higher in the Total number of self-reported  
291 ADHD symptoms compared to ASD patients -as expected- but not higher compared to ADHD/ASD  
292 patients. The same applied to all ADHD traits subscales (Table 2). In the stepwise logistic regression  
293 analysis (Table 4) ADHD traits subscales scores that could discriminate ADHD patients from ASD  
294 were Hyperactivity at age 5-12, Inattention at last 6 months and Impulsivity at last 6 months. Also,  
295 the scores of Hyperactivity at age 5 -12 together with Impulsivity at last 6 months could discriminate  
296 ADHD/ASD from ASD patients. It seems that the following four ADHD items that describe current  
297 Impulsivity 1) Talked excessively, 2) Blurted out answers before questions had been completed  
298 (completed others' sentences, or jumped the gun), 3) Had difficulty awaiting my turn and 4)  
299 Interrupted or intruded on others (buted into conversations or activities without permission or took  
300 over what others were doing) may be very useful for the discrimination between adults with a dual  
301 ADHD/ASD diagnosis and those patients having ASD as sole diagnosis. The fact that Impulsivity  
302 at age 5-12 was not among the discriminant factors could be explained by the suggestion made by  
303 Barkley [45] that symptoms of impulsivity, especially verbal impulsivity, begin to emerge as a semi  
304 distinct dimension of ADHD symptoms in adulthood and is not so distinct from hyperactivity in  
305 children's ratings or in the retrospectively recalled symptoms of childhood, as reported by adults.  
306 Also, in accordance to our findings, Barkley et al. [58] noted that in a free interview context patients'  
307 responses for the symptoms 1) Making decisions impulsively and 2) Having difficulty stopping  
308 activities or behavior when should do so, are the best discriminating symptoms for ADHD from other  
309 forms of psychopathology. The above symptoms were also found useful in order to screen for ADHD  
310 among anxious and depressive adult psychiatric outpatients [39]. There are very few disorders apart  
311 from ADHD where impulsivity is part of the diagnostic criteria, such as the borderline personality  
312 disorder or some obsessive-compulsive spectrum disorders [1,59]. Therefore, current impulsivity  
313 may be viewed as a red flag for a possible co-occurring ADHD in patients with other diagnoses.  
314 Impulsivity is also considered to play a central role in understanding ADHD/ASD comorbidity.  
315 Sokolova et al.[60] studied the relationship between ASD and ADHD symptoms by applying causal  
316 modeling. They used a large phenotypic data set of 417 children with ASD and/or ADHD, 562  
317 affected and unaffected siblings, and 414 controls, to infer a structural equation model using a causal

318 discovery algorithm. The strongest links they found were between social communication difficulties,  
319 inattention and impulsivity and suggested that impulsivity has a causative effect on social ineptness.

320 **In clinical practice the finding that self-report of current impulsivity can discriminate ASD**  
321 **patients from ASD/ADHD patients has both diagnostic and treatment implications. Interviewing**  
322 **adults of normal intelligence with an ASD diagnosis for current impulsivity may lead to a co-**  
323 **occurring ADHD diagnosis which has to be treated accordingly (i.e. with stimulants).**

#### 324 4.3. AQ dimensions

325 As expected, the total AQ score and most of the AQ subscales scores were higher in adults with  
326 ASD compared to adults with ADHD, with the exception of the subscale score of Attention to Details.  
327 Also, patients with ADHD/ASD compared to patients with ADHD scored higher in all AQ scores.

328 When comparing patient with ASD to patients with ADHD/ASD no difference was found with  
329 the exception of the subscale Attention to Details whose scores were higher in the combined group.  
330 It seems that Attention to Details scores do not significantly differ between ADHD and ASD but the  
331 co-occurrence of the two disorders significantly increases the score in this subscale. Also, stepwise  
332 logistic regression multiple analysis showed that Attention to Details along with Imagination were  
333 two of the three dimensions (the third one being EQ score) discriminating ADHD/ASD cases from  
334 those having ADHD, with Imagination being lower while Attention to Details higher in the dual  
335 diagnosed group.

336 Our results on “Attention to Details” are in line with previous reports separating this subscale  
337 from the other subscales of AQ. In a confirmatory factor analyses in both a general population and a  
338 student sample [60] four out of the five domains of the AQ (Social skill, Communication, Attention  
339 Switching, and Imagination) were highly correlated. The authors proposed a hierarchical model  
340 allowing these four domains to cluster together as a “Social Interaction” factor, while a small second  
341 factor, consisting of items focusing on a preference for details and patterns (the domain “Attention  
342 to Details”) was also identified. The usefulness of the AQ in differentiating between adult ASD and  
343 adult ADHD was also studied by Sizoo et al. [62] who explored whether Substance Use Disorders  
344 (SUD) comorbidity affects the AQ scores. Once more Total AQ score and most of the AQ subscale  
345 scores were higher in adults with ASD compared to adults with ADHD, except for the subscale score  
346 Attention to Details. Attention to Details subscale is composed of items referring to a perceptual style  
347 with a preference for details and patterns. It might be that this autistic dimension scale does not  
348 discriminate between patients with ASD and patients with ADHD because it refers to a strategy for  
349 dealing with aspects of attention deficit that is common to both disorders. Patients with ASD present  
350 attention deficit distress when they are overwhelmed by perceptual stimuli. The distress is said to  
351 reduce, when focusing on logical sequences such as patterns, telephone numbers or car license plates.  
352 On the other hand patients with ADHD might try to compensate for their attention deficits by  
353 focusing on patterns and details. It seems that co-occurrence of ADHD/ASD further increases the  
354 attention deficit and consequently a stereotyped behavior that is registered as an increased score in  
355 the Attention to Details subscale. Attention to detail could also be an underlying psychological  
356 mechanism explaining why ASD repetitive and restricted behavior and interests seem to form a link  
357 between ASD and ADHD [24, 26].

358 Imagination was the other dimension deriving from AQ that differentiated individuals with  
359 ADHD from individuals with ASD or ADHD/ASD, indicating that lack of imagination could be  
360 considered as a discriminating trait in adults with ASD regardless of ASD being a sole or co-occurring  
361 diagnosis. It is well known that people with ASD form a group of individuals for whom spontaneous  
362 and fantastical acts of imagination appear to be a challenge. Children with autism do not engage in  
363 spontaneous pretend play in the ways that typically-developing children do, engaging instead in  
364 repetitive activities; and adults with autism are less interested in fiction [63-66].

#### 365 4.4. EQ score

366 The EQ total score was lower in ASD and in ADHD/ASD patients compared to ADHD patients  
367 while no difference was found between ASD and ADHD/ASD patients (Table 3). Also, stepwise

368 logistic regression multiple analysis showed that EQ score was among the factors that discriminate  
369 both ASD and ADHD/ASD cases from ADHD cases (Table 4). It seems that low EQ score similarly to  
370 low Imagination is indicative of the presence of ASD either as a sole diagnosis or as co-occurring  
371 disorder.

372 The EQ has not been systematically studied in adults with clinical ADHD diagnosis. Groen et al  
373 [36] reported reduced EQ scores in adults with a subclinical DSM-5 ADHD diagnosis compared to  
374 the control group, although still within the normal range. They considered that the reduced EQ score  
375 may be related either to a reduced emotion regulation/emotional lability in patients with ADHD or  
376 to ASD comorbidities. The latter is supported by our finding that EQ was reduced only in the group  
377 with ADHD/ASD co-occurrence and not the ADHD group.

378 EQ is designed to measure how easily a person can detect other people's feelings and is affected  
379 by them. Since empathy is a core skill that facilitates effective social interaction [30], lower empathetic  
380 ability may reflect less social adaptability and may be the key factor in understanding reasons for  
381 referrals in adulthood [67]. Lower empathizing traits as measured by EQ in individuals referred and  
382 diagnosed in adulthood may be especially important in understanding challenges with social  
383 adaptability. Measures assessing social-cognition may not be sensitive enough to detect difficulties  
384 in functioning for adults of normal intelligence because of the "camouflaging" of ASD-related  
385 characteristics in social situations where a patient is motivated by the desire to fit in with others [68].

386 **The finding that EQ discriminates adults of normal intelligence with co-occurring ADHD/ASD**  
387 **from patients with ADHD has important clinical implications. Interviewing for the capacity to detect**  
388 **other people's feelings when assessing adults for a possible ADHD diagnosis might reveal symptoms**  
389 **indicating the possible co-occurrence of ASD. Treating ADHD with co-occurring ASD is much more**  
390 **complex than treating ADHD as a sole diagnosis.**

#### 391 4.5. Limitations

392 A number of limitations of the study should be taken in consideration. First, our subjects  
393 represent a specific clinical population and results cannot be generalized to other samples such as  
394 community or low functioning ones. Second, the study population is not very large, especially the  
395 combined ADHD/ASD population. Third, we used structured interviews only in selected cases  
396 considered to be more complicated. Previous research though has shown that there is moderate  
397 agreement between clinical diagnoses and ADOS4 while ADI-R might not be reliable in adults  
398 without intellectual disability [69-71]. An extended psychiatric interview made by an experienced  
399 psychiatrist combined with collateral information is probably the most essential part of the diagnostic  
400 assessment in high functioning adults. **Also, BAARS-IV is based on the DSM-IV criteria for ADHD.**  
401 **Nevertheless, the 18 items of BAARS-IV are similar in terms of number and quality to the**  
402 **DSM-5 items** and BAARS-IV is **the only screening instrument where the three dimensions of**  
403 **ADHD (Inattention, Hyperactivity and Impulsivity) are considered separately [45].** Another  
404 limitation of the study is the low Cronbach's  $\alpha$  for the Attention Switching and the Imagination  
405 subscale of the AQ. It is noteworthy that a low degree of internal consistency for some subscales of  
406 the AQ and in particular for the Imagination subscale has been reported in previous studies too [61,  
407 72-75]. Therefore, findings regarding these particular dimensions should be considered with caution.  
408 Finally, researchers must always be prudent with the interpretation of results of self-report  
409 questionnaires when used for individuals with neurodevelopmental disorders where self-reflection  
410 and meta-cognitive skills might be impaired. This is particularly an issue with people having an ASD  
411 diagnosis where poor awareness of autism related traits may lead to an under-reporting of autism  
412 symptoms and over-reporting of social competency [50,76].

#### 413 5. Conclusions and Research Implications

414 Despite the limitations, our results illustrate that apart from considering diagnostic categories,  
415 individual trait-based dimensions deriving from screening instruments (BAARS-IV, AQ, and EQ)  
416 might be of particular help in the comprehensive assessment and differential diagnosis of adults of  
417 normal intelligence with a suspected ADHD or ASD diagnosis and in particular of those with the

418 more perplexing ADHD/ASD diagnosis. Being able to successfully categorize individuals with  
419 ADHD, ASD or ADHD/ASD by using clinical dimensions is an important first step towards  
420 identifying atypical brain function and structure underlying these clinical features. Our findings  
421 highlight the importance of the dimensions current impulsivity, attention to details, imagination and  
422 empathy when discriminating adults with ADHD, ASD and co-occurring ADHD/ASD. They also  
423 suggest the need to study the neural underpinnings of these particular traits using a lifespan  
424 approach, in order to understand the persistence and co-occurrence of ADHD and ASD in adulthood.

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